

# Quantum Heisenberg categorification

$$\begin{array}{c} \nearrow \searrow \\ \diagup \diagdown \end{array} - \begin{array}{c} \nearrow \searrow \\ \diagup \diagdown \end{array} = z \begin{array}{c} \uparrow \uparrow \\ \uparrow \uparrow \end{array} \quad \begin{array}{c} \nearrow \searrow \\ \diagup \diagdown \end{array} = \begin{array}{c} \circ \\ \diagup \diagdown \end{array}$$

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Joint work with J. Brundan and B. Webster

# Outline

## Goal:

- ① Define a family of quantum Heisenberg categories categorifying the Heisenberg algebra
- ② Study categorical actions and applications in representation theory

## Overview:

- ① Quantum Heisenberg category
- ② Categorical actions
- ③ Quantum Frobenius Heisenberg category
- ④ Future directions

# Monoidally generated affine Hecke algebras

Fix a commutative ground ring  $\mathbb{k}$  and parameters  $z, t \in \mathbb{k}^\times$ .

Let  $\mathcal{AH}(z)$  be the strict  $\mathbb{k}$ -linear monoidal category generated by

- one object  $\uparrow$ , and
- three morphisms

$$\uparrow: \uparrow \rightarrow \uparrow, \quad \begin{array}{c} \nearrow \\ \times \end{array}, \begin{array}{c} \nwarrow \\ \times \end{array} : \uparrow \otimes \uparrow \rightarrow \uparrow \otimes \uparrow.$$

subject to the relations

$$\begin{array}{ccc} \begin{array}{c} \nearrow \\ \curvearrowleft \end{array} & = & \uparrow \uparrow, \\ \begin{array}{c} \nearrow \\ \curvearrowright \end{array} & = & \uparrow \uparrow, \\ \begin{array}{c} \nearrow \\ \times \end{array} & = & \begin{array}{c} \nearrow \\ \times \end{array}, \quad \begin{array}{c} \nwarrow \\ \times \end{array} = \begin{array}{c} \nwarrow \\ \times \end{array}, \\ \begin{array}{c} \nearrow \\ \times \end{array} - \begin{array}{c} \nwarrow \\ \times \end{array} & = & z \uparrow \uparrow, \quad \begin{array}{c} \nearrow \\ \circ \times \end{array} = \begin{array}{c} \nearrow \\ \times \end{array}, \quad \begin{array}{c} \nwarrow \\ \circ \times \end{array} = \begin{array}{c} \nwarrow \\ \times \end{array}. \end{array}$$

Then

$$\text{End}_{\mathcal{AH}(z)}(\uparrow^{\otimes n})$$

is the **affine Hecke algebra** of type  $A_{n-1}$ .

# The quantum Heisenberg category

Fix a **central charge**  $k \in \mathbb{Z}$ . (Assume  $k < 0$  for simplicity.)

To obtain the **quantum Heisenberg category**  $\mathcal{H}eis_k(z, t)$  from  $\mathcal{AH}(z)$  we perform two steps:

- ① We adjoin a right dual  $\downarrow$  to  $\uparrow$ . Precisely, we add a generating object  $\downarrow$  and additional generating morphisms

$$\begin{array}{ccc} \curvearrowleft : \mathbb{1} \rightarrow \downarrow \otimes \uparrow & \text{and} & \curvearrowright : \uparrow \otimes \downarrow \rightarrow \mathbb{1} \end{array}$$

such that

$$\begin{array}{ccc} \curvearrowleft \downarrow = \downarrow & \text{and} & \curvearrowright \uparrow = \uparrow. \end{array}$$

- ② We add more generating morphisms and relations ensuring that the resulting monoidal category is pivotal and that

$$\downarrow \otimes \uparrow \cong \uparrow \otimes \downarrow \oplus \mathbb{1}^{\oplus(-k)}. \quad (\text{Canonical commutation isom})$$

# The quantum Heisenberg category

There are three equivalent ways to do this. For simplicity, suppose  $k = -1$ .

**First approach:** Add generating morphisms

$$\text{and } \begin{array}{c} \nearrow \\ \searrow \end{array}$$

and relations

$$\begin{bmatrix} \nearrow \\ \searrow \\ -tz \end{bmatrix} = \begin{bmatrix} \nearrow & \searrow \\ \text{---} & \text{---} \end{bmatrix}^{-1} \text{ and } \text{---} = tz^{-1}1_{\mathbb{1}}.$$

**Second approach:** Add generating morphisms

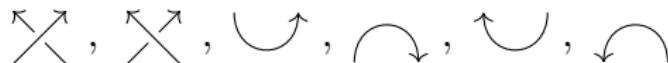
$$\text{and } \begin{array}{c} \nearrow \\ \searrow \end{array}$$

and relations

$$\begin{bmatrix} \nearrow \\ \searrow \\ t^{-1}z \end{bmatrix} = \begin{bmatrix} \nearrow & \searrow \\ \text{---} & \text{---} \end{bmatrix}^{-1} \text{ and } \text{---} = -t^{-1}z^{-1}1_{\mathbb{1}}.$$

# The quantum Heisenberg category

Third approach: Generating morphisms



subject to the relations

$$\begin{array}{ccc} \text{Diagram 1} & = & \text{Diagram 2}, \\ \text{Diagram 3} & = & \text{Diagram 4}, \\ \text{Diagram 5} & = & \text{Diagram 6}, \end{array}$$

$$\text{Diagram 7} - \text{Diagram 8} = z \text{Diagram 9}, \quad \text{Diagram 10} = \text{Diagram 11}, \quad \text{Diagram 12} = \text{Diagram 13},$$

$$\begin{array}{c} \text{Diagram 14} = \text{Diagram 15}, \quad \text{Diagram 16} = \text{Diagram 17} + tz \text{Diagram 18}, \quad \text{Diagram 19} = 0, \\ \text{Diagram 20} = -t^{-1}z^{-1}1_{\mathbb{1}}, \end{array}$$

and one more relation ( $\dagger$ ).

# The quantum Heisenberg category

**Third approach:** Note that we do not need the dot generator. It can be recovered via

$$\uparrow \circ = t \uparrow \circlearrowleft - t^2 \uparrow .$$

The extra relation ( $\dagger$ ) is that this dot is invertible.

## Theorem (Brundan–S.–Webster)

- ① All three approaches define isomorphic categories ( $\mathcal{H}eis_k(z, t)$ ).
- ②  $\mathcal{H}eis_k(z, t)$  is **strictly pivotal** (i.e. we have isotopy invariance for morphisms).

## Special cases

### Deformed Heisenberg category ( $k = -1$ )

$\mathcal{H}eis_{-1}(z, t)$  is closely related to a **deformed Heisenberg category**  $\mathcal{H}(q^2)$  introduced by Licata–S. (2013).

Precisely,  $\mathcal{H}(q^2)$  is the monoidal subcategory of

$$\mathcal{H}eis_{-1}(z, -z^{-1}), \quad z = q - q^{-1},$$

consisting of all objects and morphisms that **do not involve negative powers of the dots**.

### Affine oriented skein category ( $k = 0$ )

$\mathcal{H}eis_0(z, t)$  is the **affine oriented skein category**, an affinization of the **HOMFLY-PT skein category**.

## Categorical actions ( $k \neq 0$ )

When  $k \neq 0$ , the category  $\mathcal{H}eis_k(z, t)$  acts naturally on modules for **cyclotomic Hecke algebras**  $H_n^f$  of level  $|k|$ .

We have a chain of algebras

$$\mathbb{k} = H_0^f \subseteq H_1^f \subseteq H_2^f \subseteq \dots$$

If  $k < 0$ , then

- $\uparrow$  acts by induction from  $H_n^f$ -mod to  $H_{n+1}^f$ -mod,
- $\downarrow$  acts by restriction from  $H_n^f$ -mod to  $H_{n-1}^f$ -mod.

The morphisms (diagrams) act by certain natural transformations.

Fact that  $\mathcal{H}eis_k(z, t)$  is pivotal corresponds to fact that induction and restriction are biadjoint.

In other words  $H_n^f$  is a **Frobenius extension** of  $H_{n-1}^f$ .

# Categorical actions ( $k = 0$ )

Suppose  $k = 0$  and  $t = q^n$ .

$\mathcal{H}eis_0(z, t)$  acts on representations of  $U_q(\mathfrak{gl}_n)$ :

- $\uparrow$  tensors with natural module  $V$ ,
- $\downarrow$  tensors with dual  $V^*$ .

This action extends the monoidal functor

HOMFLY-PT skein category  $\rightarrow$  cat of fd  $U_q(\mathfrak{gl}_n)$ -modules

originally constructed by Turaev.

The center of  $\mathcal{H}eis_0(z, t)$  maps surjectively to the center of  $U_q(\mathfrak{gl}_n)$ . So we get a diagrammatic calculus for this center.

## Basis theorem

For many applications, one needs to know a **basis for morphism spaces** in  $\mathcal{H}eisk(z, t)$ .

**Usual approach:** Use

- categorical actions described above,
- known bases for the algebras involved ( $H_n^f$  and  $U_q(\mathfrak{gl}_n)$ ),
- asymptotic faithfulness (as  $n \rightarrow \infty$ ).

**However**, this approach fails for  $\mathcal{H}eisk(z, t)$ ,  $k \neq 0$ , due to  $\mathcal{H}eisk(z, t)$  having a larger center than expected.

**Solution:** Use “unfurling” technique of B. Webster. See upcoming talk of J. Brundan.

# Quantum Frobenius Heisenberg category

Generally, can incorporate a Frobenius superalgebra  $F$  to get a more general **quantum Frobenius Heisenberg category**.

Strand can now carry tokens:

$$\uparrow \bullet_f \quad , \quad f \in F.$$

We have additional/modified relations:

$$\begin{array}{c} \diagup \quad \diagdown \\ \times \end{array} - \begin{array}{c} \diagup \quad \diagdown \\ \times \\ \bullet_f \end{array} = z \sum_{b \in B} b \uparrow \bullet \uparrow b^\vee \quad , \quad (\text{new skein})$$

$$\begin{array}{c} \diagup \quad \diagdown \\ \times \\ \bullet_f \end{array} = f \begin{array}{c} \diagup \quad \diagdown \\ \times \end{array} \quad , \quad \begin{array}{c} \diagup \quad \diagdown \\ \times \\ \bullet_f \end{array} = f \begin{array}{c} \diagup \quad \diagdown \\ \times \end{array} \quad ,$$

$$\begin{array}{c} \uparrow \bullet_f \\ \circ \end{array} = \begin{array}{c} \uparrow \circ \\ \bullet_f \end{array} \quad ,$$

+ inversion, etc.

# Categorical actions

Categorical actions: Largely unexplored.

Case:  $k = 0$

Obtain a **Frobenius deformation** of the affine oriented skein category.

Natural action is an open question for general  $F$ .

Should act on modules for some  $F$ -deformation of  $U_q(\mathfrak{gl}_n)$ .

Case:  $k \neq 0$

Acts on cyclotomic quotients of **affine Frobenius Hecke algebras**.

The structure theory and rep theory of these algebras is work in progress (with D. Rosso).

# Future directions

## Traces

The **trace** of the deformed Heisenberg category  $\mathcal{H}(q^2)$  was computed by Cautis–Lauda–Licata–Samuelson–Sussan.

It is related to the **elliptic Hall algebra**.

One should be able to extend this description to the larger quantum Heisenberg category  $\mathcal{H}eis_k(z, t)$ .

## Connections to Kac–Moody 2-categories (with Brundan & Webster)

Given certain categorical Heisenberg actions, one can define a categorical Kac–Moody action.

Conversely, given certain categorical Kac–Moody actions, one can define a categorical Heisenberg action.

This extends work with Queffelec and Yacobi, which considered the level one case.