

The Heisenberg category Heis_k ($k \in \mathbb{Z}$) $\mathbb{C}$ -linear strict monoidal category.

Generators:

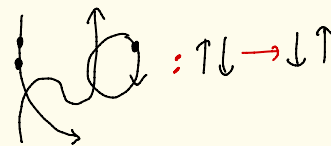
objects: $\uparrow, \downarrow$

morphisms: $\times, \uparrow, \cap, \cup, \cup, \cap$.

General ob = word
eg $\uparrow\uparrow\downarrow = \uparrow \otimes \uparrow \otimes \downarrow$

Penrose diagrams

eg



General mor = linear combination
of diagrams $\sim$
 $\sim$ = rectilinear isotopy

Definition of Heis_k is due to

- Khovanov ($k = -1$)
- B.-Comes-Nash-Reynolds ($k = 0$) "affine oriented Brauer"
- Mackaay-Savage ($k < 0$)
- B. ($k \in \mathbb{Z}$)

$\exists$ Many other diagrammatic
monoidal categories of a
similar nature.

The Heisenberg category Heis_k ($k \in \mathbb{Z}$)

$\mathbb{C}$ -linear strict monoidal category.

Generators: $\nearrow, \searrow$ (objects), $\nwarrow, \nearrow$ (morphisms)

General ob = word
eg $\nearrow \nearrow \searrow = \nearrow \otimes \nearrow \otimes \searrow$

$\nwarrow, \nearrow, \cap, \cup, \smile, \frown$.



General mor = linear combination of diagrams / $\sim$
 $\sim$ = rectilinear isotopy

Relations:

$\nwarrow \nearrow = \parallel \parallel$, $\nwarrow \nwarrow = \nwarrow \nwarrow$, $\nwarrow \nearrow - \nearrow \nwarrow = \parallel \parallel$,

$\cap = \uparrow = \cup$, $\beta = \delta_{k,0} \uparrow$ if $k \geq 0$, $\beta = \delta_{k,0} \downarrow$ if $k \leq 0$,

$\nwarrow \nearrow = \parallel \parallel + \bigcirc_{-a-b-2}^a \nwarrow \nearrow_b$, $\nwarrow \nwarrow = \parallel \parallel + \bigcirc_{-a-b-2}^a \nwarrow \nwarrow_b$

The Heisenberg category $\mathcal{H}eis_k$ ($k \in \mathbb{Z}$)

$\mathbb{C}$ -linear strict monoidal category.

Generators: $\nearrow, \searrow$ (ob) $\uparrow, \downarrow$ (mor)
 $\bowtie, \uparrow, \cap, \cup, \cup, \cap$.

General ob = word
 eg $\uparrow\uparrow\downarrow = \uparrow \otimes \uparrow \otimes \downarrow$



General mor = linear combination of diagrams / $\sim$
 $\sim$ = rectilinear isotopy

Relations: $\bowtie = \uparrow\downarrow$, $\bowtie = \bowtie$, $\bowtie - \bowtie = \uparrow\downarrow$,

degenerate affine Hecke algebra!

$\cap = \uparrow \cup$, $\downarrow = \downarrow_{k=0}$ if $k \geq 0$, $\downarrow = \downarrow_{k=0}$ if $k \leq 0$,

$\bowtie = \uparrow\downarrow + \text{bubble}$, $\bowtie = \uparrow\downarrow + \text{bubble}$

Negatively dotted bubbles are defined so

$\bigcirc(w) \bigcirc(w) = -1$

Shorthands:

$\bowtie := \cup \cap$, $\bowtie := \cap \cup$, $\bigcirc(w) = \sum_{n \geq k} \bigcirc_n w^{n-1}$, $\bigcirc(w) = \sum_{n \geq -k} \bigcirc_n w^{n-1}$,
 (w = indeterminate)

Motivation: $\mathcal{H}e_{\mathbb{C}} \hookrightarrow \bigoplus_{n \geq 0} H_n^f\text{-mod}$

• If $k = -l$ adjoint pair!
 $(\uparrow, \downarrow) \longleftrightarrow (\text{id}_n^{n+1}, \text{res}_{n-1}^n)$

• If $k = l$
 $(\uparrow, \downarrow) \longleftrightarrow (\text{res}_{n-1}^n, \text{corid}_n^{n+1})$

↑ degenerate cyclotomic Hecke algebra of level $l > 0$

$f = f(w) = (w - c_1) \cdots (w - c_l) \in \mathbb{C}[w]$
 monic poly. of degree l .

This was Khovanov's original idea — in level one $H_n^f = \mathbb{C}S_n$

$\mathcal{H}e_{\mathbb{C}}$ acts on many other important Abelian categories in rep. theory!

Motivation: $\mathcal{H}e_{\mathbf{k}}$ $\hookrightarrow \bigoplus_{n \geq 0} H_n^f\text{-mod}$

• If $k = -l$ adjoint pair!
 $(\uparrow, \downarrow) \longleftrightarrow (\text{id}_n^{n+1}, \text{res}_{n-1}^n)$

• If $k = l$
 $(\uparrow, \downarrow) \longleftrightarrow (\text{res}_{n-1}^n, \text{corid}_n^{n+1})$

$\uparrow$ degenerate cyclotomic Hecke algebra of level $l > 0$

$f = f(w) = (w - c_1) \cdots (w - c_l) \in \mathbb{C}[w]$
 monic poly. of degree l .

Theorem 1 $K_0(\text{Kar}(\mathcal{H}e_{\mathbf{k}})) = \mathbb{Z}\text{-form for } \mathcal{U}(\mathfrak{h}) / \langle c = k \rangle$
 central reduction of enveloping algebra of $\infty\text{-dim Heisenberg Lie alg.}$

Originally conjectured by Khovanov.

Now a theorem — B., Savage, Webster

Motivation: $\mathcal{H}eis_k \hookrightarrow \bigoplus_{n \geq 0} H_n^f\text{-mod}$

• If $k = -l$
 $(\uparrow, \downarrow) \longleftrightarrow (\text{id}_n^{n+1}, \text{res}_n^{n-1})$

adjoint pair!

• If $k = l$
 $(\uparrow, \downarrow) \longleftrightarrow (\text{res}_n^{n-1}, \text{cores}_n^{n+1})$

degenerate cyclotomic Hecke algebra of level $l > 0$

$f = f(\omega) = (\omega - c_1) \cdots (\omega - c_l) \in \mathbb{C}[\omega]$
 monic poly. of degree l .

Theorem 1 $K_0(\text{Kar}(\mathcal{H}eis_k)) = \mathbb{Z}$ -form for $\mathcal{U}(\mathfrak{h}) / \langle c = k \rangle$
 central reduction of enveloping algebra of ∞ -dim Heisenberg Lie alg.

$\uparrow$
Theorem 2 Basis theorem — $\text{Hom}_{\mathcal{H}eis_k}(X, Y)$ is free right $\text{End}(\mathbb{1})$ -module
 with basis = "reduced" diagrams w/ dots at termini... Bubbles (copy of Sym)

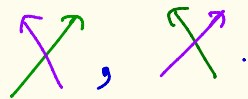
$\uparrow$
Theorem 3 Generic categorified comultiplication $\Delta_{l+m}: \mathcal{H}eis_{l+m} \rightarrow \mathcal{H}eis_l \otimes \mathcal{H}eis_m$

The categorical multiplication

$\text{Heis}_\ell \odot \text{Heis}_m$ Symmetric product = monoidal category gen'd by both $\text{Heis}_\ell, \text{Heis}_m$ + morphisms forcing them to strictly commute

The categorical comultiplication $\text{Heis}_\ell \otimes \text{Heis}_n$

Use two colors! New morphisms



New relations



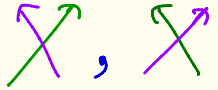
+ obvious commuting relations

 $\text{Heis}_\ell \overline{\otimes} \text{Heis}_n$ Localize at $\uparrow \uparrow - \uparrow \uparrow$

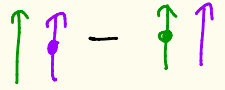
i.e. adjoint two-sided inverse

$$\uparrow \uparrow = \left(\uparrow \uparrow - \uparrow \uparrow \right)^{-1}$$

The categorical multiplication

$\text{Heis}_\ell \odot \text{Heis}_n$ Use two colors! New morphisms .

New relations .

$\text{Heis}_\ell \overline{\odot} \text{Heis}_n$ Localize at 
i.e. adjoin two-sided inverse  = $\left(\text{blue up with red dot} - \text{red up with blue dot} \right)^{-1}$

Theorem $\exists!$ strict monoidal functor $\Delta_{\ell+m} : \text{Heis}_{\ell+m} \rightarrow \text{Add}(\text{Heis}_\ell \overline{\odot} \text{Heis}_n)$

$$\uparrow \mapsto \text{blue up} \oplus \text{red up}, \quad \downarrow \mapsto \text{blue down} \oplus \text{red down},$$

$$\updownarrow \mapsto \text{blue up} \text{ red down} + \text{red up} \text{ blue down}, \quad \cap \mapsto \text{blue cap} + \text{red cap}, \quad \cup \mapsto \text{blue cup} + \text{red cup},$$

$$\times \mapsto \text{blue cross} + \text{red cross} + \text{blue cap} \text{ red cup} - \text{red cap} \text{ blue cup} + \text{blue cup} \text{ red cap} - \text{red cup} \text{ blue cap} - \text{blue up} \text{ red down} + \text{red up} \text{ blue down}$$

Proof Work out images of $\cap, \cup$ then check relations!

Now take

$$\begin{array}{ccc}
 f(w) = w^l & \text{linearized} & g(w) = (w - c_1) \cdots (w - c_m) \\
 \downarrow & \text{Cartesian product} & \downarrow \\
 \left(\bigoplus_{m \geq 0} H_m^f\text{-mod} \right) & \boxtimes & \left(\bigoplus_{n \geq 0} H_n^g\text{-mod} \right) \\
 \uparrow & & \uparrow \\
 \text{Heis}_{-l} \text{ acts} & & \text{Heis}_m \text{ acts}
 \end{array}$$

Now take

$$\begin{array}{ccc}
 f(w) = w^l & \text{linearized} & g(w) = (w - c_1) \cdots (w - c_m) \\
 \downarrow & \text{Cartesian product} & \downarrow \\
 \left(\bigoplus_{m \geq 0} H_m^f\text{-mod} \right) & \boxtimes & \left(\bigoplus_{m \geq 0} H_m^g\text{-mod} \right) \\
 \uparrow & & \uparrow \\
 \text{Heis}_{-l} \text{ acts} & & \text{Heis}_m \text{ acts}
 \end{array}$$

Providing $c_1, \dots, c_m \notin \mathbb{Z}$, $\uparrow \downarrow - \downarrow \uparrow$ acts invertibly ... so Heis_k acts via Δ_{-l+m}
 $k = m - l$

Moreover $\bigcirc(w)$ acts as $w^k + w^{k-1} e_1 + \cdots + w^{k-m} e_m$
 $e_i = e_i(c_1, \dots, c_m)$

elementary symmetric functions

$$\begin{array}{c}
 \Delta_{-l+m} \swarrow \\
 \bigcirc(w) \quad \bigcirc(w)
 \end{array}$$

Now take

Sufficiently large
module category!

$$\begin{array}{ccc}
 f(w) = w^l & \text{linearized Cartesian product} & g(w) = (w - c_1) \cdots (w - c_m) \\
 \downarrow & \downarrow & \downarrow \\
 \left(\bigoplus_{m \geq 0} H_m^f\text{-mod} \right) & \boxtimes & \left(\bigoplus_{n \geq 0} H_n^g\text{-mod} \right) \\
 \uparrow & & \uparrow \\
 \text{Heis}_{-l} \text{ acts} & & \text{Heis}_m \text{ acts}
 \end{array}$$

Providing $c_1, \dots, c_m \notin \mathbb{Z}$, $\uparrow \uparrow - \uparrow \uparrow$ acts invertibly ... so Heis_k acts via Δ_{-l+m}
 $k = m - l$

Moreover $\bigcirc(w)$ acts as $w^k + w^{k-1} e_1 + \cdots + w^{k-m} e_m$
 $e_i := e_i(c_1, \dots, c_m)$

Sym
↓

Letting $c_1, \dots, c_m$ vary, gives enough freedom to prove linear independence of bubbles,
 $m, l \gg 0$ hence the basis theorem! Model proof
 $k = m - l$

Thank you!